

# Constructing Abelian Varieties for Pairing-Based Cryptography

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26 February 2008

# Outline

- 1 Pairing-Based Cryptography
  - Pairings in Cryptography
  - Pairings on Abelian Varieties
  - The Problem
- 2 Constructing Pairing-Friendly Ordinary Elliptic Curves
  - The CM Method of Curve Construction
  - The MNT Strategy
  - Curves with Embedding Degree 10
- 3 Constructing Pairing-Friendly Ordinary Abelian Varieties
  - Abelian Varieties and Complex Multiplication
  - The FSS Construction
  - Extending the Algorithm

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# What is a pairing?

- Let  $\mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T$  be finite cyclic groups of the same order.
- A *cryptographic pairing* is a bilinear, nondegenerate map

$$e : \mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T.$$

- To be useful in crypto applications, we need:
  - 1 the pairing to be easy to compute, and
  - 2 the discrete logarithm problem in  $\mathbb{G}_1, \mathbb{G}_2$ , and  $\mathbb{G}_T$  to be computationally infeasible.
- Discrete logarithm problem (DLP): Given  $x, x^a$  in finite group, compute  $a \in \mathbb{Z}/|\mathbb{G}|\mathbb{Z}$ .

# Example: One-round 3-way key exchange (Joux)

- Three players A,B,C want to agree on a shared secret.
- Choose (public) group  $\mathbb{G}_1 = \mathbb{G}_2 = \langle g \rangle$  and cryptographic pairing  $e : \mathbb{G}_1 \times \mathbb{G}_2 \rightarrow \mathbb{G}_T$ .
- A,B,C pick secret integers  $a, b, c \in [1, |g|]$ .
- A broadcasts  $g^a$ , B broadcasts  $g^b$ , C broadcasts  $g^c$ .
- Shared secret is  $e(g, g)^{abc} \in \mathbb{G}_T$ :
  - A computes  $e(g^b, g^c)^a$ ,
  - B computes  $e(g^a, g^c)^b$ ,
  - C computes  $e(g^a, g^b)^c$ .
- If DLP in  $\langle g \rangle$  and  $\mathbb{G}_T$  are infeasible, then the shared secret can't be recovered from the public information.
  - Can't compute  $a$  from  $g, g^a$  or  $e(g, g), e(g, g^a)$ .

# Pairings used in cryptography

- Today, pairings used in many cryptographic applications, including *identity-based encryption*, *digital signatures*, *private information retrieval*, *zero knowledge*, and more...
- Groups  $\mathbb{G}_1, \mathbb{G}_2$  are groups of points on (principally polarized) abelian varieties  $A/\mathbb{F}_q$ .
- Pairings  $e$  are (variants of) the *Weil pairing*

$$e_{\text{weil},r} : A[r] \times A[r] \rightarrow \mu_r$$

or the *Tate* (or *Frey-Rück*) *pairing*

$$e_{\text{tate},r} : A(\mathbb{F}_{q^k})[r] \times A(\mathbb{F}_{q^k})/rA(\mathbb{F}_{q^k}) \rightarrow \mathbb{F}_{q^k}^\times / (\mathbb{F}_{q^k}^\times)^r$$

- If  $r$  is prime and  $\mathbb{F}_{q^k}$  is the smallest field containing  $\mu_r$ , then  $\mathbb{G}_T = \mathbb{F}_{q^k}^\times$  for both pairings.

# Embedding degrees

- Let  $A$  be an  $g$ -dimensional abelian variety over  $\mathbb{F}_q$  with  $r \mid \#A(\mathbb{F}_q)$ ,  $r$  prime.
  - If keys, signatures, ciphertexts, etc. are elements of  $A[r]$ , we want  $q$  small to save bandwidth.
  - Ideal case:  $A(\mathbb{F}_q)$  has prime order ( $r \approx q^g$ ).
- Let  $k$  be the smallest integer such that  $\mu_r \subset \mathbb{F}_{q^k}^\times$  (equivalently, such that  $r \mid q^k - 1$  or  $r \mid \Phi_k(q)$ ).
  - Weil/Tate pairings can be used to embed  $A(\mathbb{F}_q)[r]$  into  $\mathbb{F}_{q^k}^\times$ .
  - $k$  is the *embedding degree* of  $A$  (with respect to  $r$ ).
- Equivalently,  $k$  is the order of  $q$  in  $(\mathbb{Z}/r\mathbb{Z})^\times$ .
  - For “random” varieties,  $k \sim r$  (Bal.-Kob.).
  - If  $r$  is large ( $\sim 2^{160}$ ), random  $A$  will have embedding degree too large to be practical.

# The problem

- The problem: find primes  $q$  and abelian varieties  $A/\mathbb{F}_q$  having
  - 1 a subgroup of large prime order  $r$ , and
  - 2 prescribed (small) embedding degree with respect to  $r$ .
    - In practice, want  $r > 2^{160}$  and  $k \leq 50$ .
- We call such varieties “pairing-friendly.”
- Want to be able to control the number of bits of  $r$  to construct varieties for various applications.



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# Known results: Elliptic curves

- Menezes-Okamoto-Vanstone: Supersingular elliptic curves always have  $k \leq 6$ ; easy to construct.
- Cocks-Pinch, Dupont-Enge-Morain: Construct ordinary elliptic curves with arbitrary  $k$ ,  $q \approx r^2$ .
- Barreto-Lynn-Scott, Brezing-Weng: reduce size of  $q$  for certain  $k$ , but no curves of prime order.
- Miyaji-Nakabayashi-Takano, Barreto-Naehrig: Construct ordinary elliptic curves with  $k = 3, 4$ , or  $6$  (MNT), or  $k = 12$  (BN) and prime order  $r \approx q$ .
- **Our result (ANTS-VII)**: Construct ordinary elliptic curves with  $k = 10$  and prime order  $r \approx q$ .

# The CM method

- *Complex Multiplication method* (Atkin, Morain) generates elliptic curves with a specified number of points.
- For given square-free  $D > 0$ , CM method constructs elliptic curve with CM by  $\mathbb{Q}(\sqrt{-D})$ .
- Running time depends on the class number  $h_D$  of  $\mathbb{Q}(\sqrt{-D})$ .
  - Bottleneck is computing the *Hilbert class polynomial*, a polynomial of degree  $h_D$ .
  - Best known algorithms run in (roughly)  $O(h_D^2) = O(D)$  (Enge).
- Can be efficiently implemented if  $h_D$  not too large.
  - Current record is  $h_D = 10^5$  (Enge).

# How to generate pairing-friendly curves

- Recall: The *trace* of  $E/\mathbb{F}_q$  satisfies  $\#E(\mathbb{F}_q) = q + 1 - t$ .
- To apply the CM method: Fix  $D, k$ . Look for  $t, r, q$  (representing trace, order of subgroup, and size of field) satisfying
  - $q, r$  prime;
  - $r \mid q + 1 - t$  (formula for number of points);
  - $r \mid \Phi_k(q)$ , where  $\Phi_k$  is  $k$ th cyclotomic polynomial (embedding degree  $k$ );
  - $Dy^2 = 4q - t^2$  for some integer  $y$  (“CM equation”).
- For such  $t, r, q$ , if  $h_D$  is not too large ( $\sim 10^5$ ) we can construct an elliptic curve  $E$  over  $\mathbb{F}_q$  with an order- $r$  subgroup and embedding degree  $k$ .

# Generating curves of prime order

- For curves of prime order, have  $r = q + 1 - t$ .
  - Condition  $r \mid \Phi_k(q)$  equivalent to  $r \mid \Phi_k(t - 1)$ .
- Idea of Barreto-Lynn-Scott, others: parametrize  $t, r, q$  as polynomials:  $t(x), r(x), q(x)$ . Construct curves by finding many integer solutions  $(x, y)$  to the “CM equation”

$$Dy^2 = 4q(x) - t(x)^2 = 4r(x) - (t(x) - 2)^2.$$

- MNT strategy: Fix  $D, k$ , choose  $t(x)$ , let  $r(x)$  be an irreducible factor of  $\Phi_k(t(x) - 1)$ , find solutions  $(x, y)$  to CM equation.
- Observation (F.): CM equation will have many solutions only if RHS is quadratic or has a multiple root (Siegel’s theorem).

# Using the MNT strategy

- Goal: Choose  $t(x)$ , find factor  $r(x)$  of  $\Phi_k(t(x) - 1)$ , such that  $f(x) = 4r(x) - (t(x) - 2)^2$  is quadratic.
- MNT solution for  $k = 3, 4, 6$ :
  - 1 Choose  $t(x)$  linear; then  $r(x)$  is quadratic, and so is  $f(x)$ .
  - 2 Use standard Pell equation algorithms to find solutions  $(x_0, y_0)$  to  $Dy^2 = f(x)$ .
  - 3 Compute field size  $q(x_0)$  and curve order  $r(x_0)$ .
  - 4 If no solutions of appropriate size, or  $q(x_0)$  or  $r(x_0)$  not prime, choose different  $D$  and try again.
  - 5 Use CM method to construct curve equation.

# Our solution for $k = 10$

- Goal: Choose  $t(x)$ , find factor  $r(x)$  of  $\Phi_{10}(t(x) - 1)$ , such that  $f(x) = 4r(x) - (t(x) - 2)^2$  is quadratic.
  - All irred. factors of  $\Phi_{10}(t(x) - 1)$  must have  $4 \mid \text{degree}$ .
- Key observation: Need to choose  $r(x)$ ,  $t(x)$  such that the leading terms of  $4r$  and  $t^2$  cancel out.
  - Smallest possible case:  $\text{deg } r = 4$ ,  $\text{deg } t = 2$ .
- Galbraith-McKee-Valena: Characterized quadratic  $t(x)$  such that  $\Phi_{10}(t(x) - 1)$  factors into two quartics.
- One of these  $t(x)$  gives the desired cancellation!
- Construct curves via Pell-like equation as in MNT solution.

# Choice of Parameters

- Choose  $t, r, q$  as follows:

$$t(x) = 10x^2 + 5x + 3$$

$$r(x) = 25x^4 + 25x^3 + 15x^2 + 5x + 1$$

$$q(x) = 25x^4 + 25x^3 + 25x^2 + 10x + 3$$

- Then  $r(x)$  divides  $\Phi_{10}(t(x) - 1)$ , and

$$f(x) = 4r(x) - (t(x) - 2)^2 = 15x^2 + 10x + 3.$$



# Example: A 234-bit Curve (Computed by Mike Scott)

- Set  $D = 1227652867$ .
- Compute solution  $(x, y)$  to  $Dy^2 = 15x^2 + 10x + 3$ .
- Use this value of  $x$  to compute

$$t = 269901098952705059670276196260897153$$

$$r = 18211650803969472064493264347375949776033155743952030750450033782306651$$

$$q = 18211650803969472064493264347375950045934254696657090420726230043203803$$

- Use CM method to compute curve equation over  $\mathbb{F}_q$ :

$$y^2 = x^3 - 3x + 15748668094913401184777964473522859086900831274922948973320684995903275.$$

- This curve has  $r$  points and embedding degree 10.

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# Known Results: Abelian Varieties of Dimension $\geq 2$

- Rubin-Silverberg: Classified supersingular abelian varieties of dimension  $g \leq 6$ .
  - Easy to construct.
  - Always have  $k \leq 7.5g$ .
- Galbraith-McKee-Valena, Hitt: Showed existence of non-supersingular abelian surfaces ( $g = 2$ ) with small embedding degree, but no construction.
- **Result #1** (*Pairings '07*):  
Construct ordinary abelian surfaces with arbitrary  $k$ .
- **Result #2** (*ANTS-VIII*, with P. Stevenhagen and M. Strenq):  
Abstract Result #1 and generalize to arbitrary dimension.

# Frobenius Endomorphism and CM fields

- Let  $A$  be a  $g$ -dimensional ordinary, simple abelian variety over  $\mathbb{F}_q$  ( $q$  prime).
- $K = \text{End}(A) \otimes \mathbb{Q}$  is a degree- $2g$  number field, called a *CM-field* — an imaginary quadratic extension of a totally real field. (We say  $A$  has *CM* by  $K$ .)
- The Frobenius endomorphism  $\pi$  of  $A$  can be interpreted as an algebraic integer in  $K$ .
- $\pi \in \mathcal{O}_K$  is a  *$q$ -Weil number*: all embeddings  $K \hookrightarrow \mathbb{C}$  have  $\pi\bar{\pi} = q$ .
- $\#A(\mathbb{F}_q) = N_{K/\mathbb{Q}}(\pi - 1)$ .

# Pairing-Friendly Frobenius Elements

- Honda-Tate: (conjugacy classes of)  $q$ -Weil numbers  $\pi$  correspond to (isogeny classes of) abelian varieties  $A/\mathbb{F}_q$ .
- To guarantee that  $A/\mathbb{F}_q$  has embedding degree  $k$  with respect to a subgroup of order  $r$ , we require:

$$\begin{aligned} N_{K/\mathbb{Q}}(\pi - 1) &\equiv 0 \pmod{r} \\ \Phi_k(\pi\bar{\pi}) &\equiv 0 \pmod{r} \end{aligned}$$

where  $\Phi_k$  is the  $k$ th cyclotomic polynomial.

- Construction of such  $\pi$  demonstrates *existence* of pairing-friendly abelian varieties.
- Problem: these varieties can only be *constructed* if  $K$  is “small.”
- Solution: Fix  $K$  in advance so that varieties with CM by  $K$  can be constructed (more on this later...).

# Main Idea: A Modular Approach

- Simple case:  $K$  Galois cyclic, degree  $2g$ ,  $\text{Gal}(K/\mathbb{Q}) = \langle \sigma \rangle$ .
- Subgroup order  $r$  is a prime that splits completely in  $K$ :

$$r\mathcal{O}_K = \mathfrak{r}_1 \cdots \mathfrak{r}_g \bar{\mathfrak{r}}_1 \cdots \bar{\mathfrak{r}}_g$$

with  $\mathfrak{r}_i = \sigma^{-i}(\mathfrak{r})$  for some prime  $\mathfrak{r}$  over  $r$ .

- Given  $\xi \in \mathcal{O}_K$ , write

$$\xi \equiv \alpha_j \pmod{\mathfrak{r}_j}, \quad \xi \equiv \beta_j \pmod{\bar{\mathfrak{r}}_j}$$

for  $\alpha_j, \beta_j \in \mathbb{F}_r$ .

- Define  $\pi = \prod_{i=1}^g \sigma^i(\xi)$ .
- Then  $\sigma^i(\xi) \equiv \alpha_j \pmod{\mathfrak{r}}$  and  $\sigma^i(\xi) \equiv \beta_j \pmod{\bar{\mathfrak{r}}}$ , so we have

$$\pi \equiv \prod_{i=1}^g \alpha_j \pmod{\mathfrak{r}}, \quad \pi \equiv \prod_{i=1}^g \beta_j \pmod{\bar{\mathfrak{r}}}.$$

# Imposing The Pairing-Friendly Conditions

- We have constructed  $\pi \in \mathcal{O}_K$  such that

$$\pi \equiv \prod_{i=1}^g \alpha_i \pmod{\tau}, \quad \bar{\pi} \equiv \prod_{i=1}^g \beta_i \pmod{\tau}.$$

- Suppose that

- 1  $\zeta = \prod_{i=1}^g \alpha_i$  is a  $k$ th root of unity in  $\mathbb{F}_r^\times$ , and
- 2  $\prod_{i=1}^g \beta_i = 1$  in  $\mathbb{F}_r$ .

- Then

- 1  $\Phi_k(\pi\bar{\pi}) \equiv \Phi_k(\zeta) \equiv 0 \pmod{\tau}$
- 2  $\bar{\pi} - 1 \equiv 0 \pmod{\tau}$ , so  $N_{K/\mathbb{Q}}(\pi - 1) \equiv 0 \pmod{r}$ .

- Conclusion: if  $q = \pi\bar{\pi} = N_{K/\mathbb{Q}}(\xi)$  is prime, then abelian varieties  $A/\mathbb{F}_q$  with Frobenius endomorphism  $\pi$  have embedding degree  $k$  with respect to a subgroup of order  $r$ .

# Generalizing to Arbitrary CM-Fields

- A *CM-type* of  $K$  is a set  $\Phi = \{\phi_1, \dots, \phi_g\}$  of half of the embeddings  $K \hookrightarrow \mathbb{C}$ , one from each complex conjugate pair.
- The *reflex type* of  $(K, \Phi)$  is a CM-type  $\Psi = \{\psi_1, \dots, \psi_{\hat{g}}\}$  of a certain CM-subfield  $\hat{K}$  of the Galois closure of  $K$ .
  - $\hat{K} = K$  if  $K$  is Galois; in general  $\hat{g} \gg g$ .
- The *type norm* of  $\Psi$  is the map

$$N_{\Psi} : \xi \mapsto \prod_{i=1}^{\hat{g}} \psi_i(\xi).$$

- Theorem (Shimura):  $N_{\Psi}$  maps  $\mathcal{O}_{\hat{K}}$  to  $\mathcal{O}_K$ .
- To generalize construction, factor  $r$  in  $\mathcal{O}_{\hat{K}}$ , construct  $\xi \in \mathcal{O}_{\hat{K}}$  with prescribed residues, and let  $\pi = N_{\Psi}(\xi) \in \mathcal{O}_K$ .



# The FSS Algorithm

- 1 Fix primitive CM-type  $(K, \Phi)$ , prime subgroup size  $r$  (splits completely in  $K$ ), embedding degree  $k \equiv 1 \pmod{r}$ .
- 2 Compute the reflex type  $(\widehat{K}, \Psi)$ , let  $\widehat{g} = \frac{1}{2} \deg \widehat{K}$ .
- 3 Choose random  $\alpha_1, \dots, \alpha_{\widehat{g}-1}, \beta_1, \dots, \beta_{\widehat{g}-1} \in \mathbb{F}_r^\times$ .
- 4 Choose  $\alpha_{\widehat{g}}, \beta_{\widehat{g}} \in \mathbb{F}_r$  such that  $\prod_{i=1}^{\widehat{g}} \alpha_i$  is a  $k$ th root of unity, and  $\prod_{i=1}^{\widehat{g}} \beta_i = 1$ .
- 5 Use Chinese Remainder Theorem to compute  $\xi \in \mathcal{O}_{\widehat{K}}$  with residues  $\alpha_i, \beta_i$  modulo factors of  $r\mathcal{O}_{\widehat{K}}$ .
- 6 Let  $\pi = N_{\Psi}(\xi)$ ,  $q = \pi\bar{\pi} = N_{\widehat{K}/\mathbb{Q}}(\xi)$ .
- 7 If  $q$  is prime return  $q$  and  $\pi$ ; otherwise go to (3).

# The Output

- Need  $g \geq 2$  for algorithm to work.
  - Adaptation for  $g = 1$  recovers the Cocks-Pinch algorithm.
- For fixed  $K$ , expected running time to output prime  $q$  and  $\pi \in \mathcal{O}_K$  is (heuristically) polynomial in  $\log r$ .
- Theorem (FSS): If prime  $q$  is unramified in  $K$  and  $K = \mathbb{Q}(\pi)$  (both of which happen with high probability) then there is an ordinary, simple abelian variety  $A/\mathbb{F}_q$  of dimension  $g$  that has embedding degree  $k$  with respect to a subgroup of order  $r$ .
- How do we construct this  $A$ ? *CM methods*.

# Constructing Abelian Varieties with CM by $K$

- CM theory: Abelian varieties  $A/\mathbb{F}_q$  with CM by  $K$  arise as reductions of varieties in characteristic zero with CM by  $K$ .
- CM methods: Construct  $g$ -dimensional abelian varieties in characteristic zero with CM by  $K$ .
  - $g = 1$  (elliptic curves): Compute *Hilbert class polynomials*; roots are  $j$ -invariants of elliptic curves  $E$  with CM by  $K$ .
  - $g = 2$  (abelian surfaces): Compute *Igusa class polynomials*; roots are Igusa invariants of genus 2 curves  $C$  whose Jacobians have CM by  $K$ .
  - $g = 3$ : Methods developed only for fields containing  $i$  or  $\zeta_3$ .
  - Higher dimensions: only a few explicit examples, e.g. Jacobian of  $y^2 = x^p + 1$  for prime  $p$ .

# A small example ( $g = 2$ )

- Algorithm inputs:

- 1 CM-field  $K = \mathbb{Q}(\zeta_5)$ ; CM-type  $\Phi = \{\phi_1, \phi_2\}$ ,  
where  $\phi_n : \zeta_5 \mapsto e^{2\pi in/5}$ .
- 2 Embedding degree  $k = 10$ ,
- 3 Prime  $r = 2011 = \text{NextPrime}(2008)$ ,

- Algorithm outputs:

- 1 Prime  $q = 2086780871011$ ,
  - 2  $\pi = 835578 + 552276\zeta_5 - 845235\zeta_5^2 + 313882\zeta_5^3$ .
- CM methods produce curve  $C : y^2 = x^5 + 22$  over  $\mathbb{F}_q$ .
  - If  $A = \text{Jac}(C)$  is the Jacobian of  $C$ , then
    - 1  $A(\mathbb{F}_q)$  has a subgroup of order  $r$ .

$$\#A(\mathbb{F}_q) = 4354647472611861083688755 \equiv 0 \pmod{r}$$

- 2  $A$  has embedding degree 10 with respect to  $r$ .

# Improving the $\rho$ -value

- For abelian varieties  $A$  of dimension  $g$  over  $\mathbb{F}_q$ , define a parameter

$$\rho = \frac{\log q^g}{\log r}.$$

- Since  $\#A \approx q^g$ ,  $\rho$  measures ratio of pairing-friendly subgroup size to entire group size (in bits).
  - Want  $\rho$  small for maximum efficiency. (Minimum is 1.)
- Expected  $\rho$ -value produced by our algorithm is  $2g\hat{g}$ .
  - $\rho = 7.46$  in the example above ( $g = \hat{g} = 2$ ).
- Major open problem: produce pairing-friendly ordinary abelian varieties with  $g \geq 2$  and  $\rho \leq 2$ .

# A New Result

- Used the ideas of FSS algorithm to generalize Brezing-Weng elliptic curve construction to arbitrary dimension.
- Implemented for Galois cyclic CM-fields  $K$ .
- Algorithm produces pairing-friendly abelian varieties with  $\rho < 2g^2$ .

Dimension  $g = 2$

$k$	$\rho$	CM-field
5	4	$\mathbb{Q}(\zeta_5)$
10	6	$\mathbb{Q}(\zeta_5)$
13	6.7	$\mathbb{Q}(\sqrt{-13 + 2\sqrt{13}})$
16	7	$\mathbb{Q}(\sqrt{-2 + \sqrt{2}})$

Dimension  $g = 3$

$k$	$\rho$	CM-field
7	12	$\mathbb{Q}(\zeta_7)$
9	15	$\mathbb{Q}(\zeta_9)$