

MR2085902 11G40 11G10

Agashe, Amod (1-TX);

Stein, William [Stein, William A.] (1-HRV)

**Visible evidence for the Birch and Swinnerton-Dyer conjecture for modular abelian varieties of analytic rank zero. (English. English summary)**

With an appendix by J. Cremona and B. Mazur.

*Math. Comp.* **74** (2005), no. 249, 455–484 (*electronic*).

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**MR2023296** 11F33

**Coleman, Robert F.** (1-CA); **Stein, William A.** (1-HRV)

**Approximation of eigenforms of infinite slope by eigenforms of finite slope.**

*Geometric aspects of Dwork theory. Vol. I, II*, 437–449, Walter de Gruyter GmbH & Co. KG, Berlin, 2004.

**MR2058655 (2005c:11072)** 11G10

**Stein, William A.** (1-HRV)

**Shafarevich-Tate groups of nonsquare order. (English. English summary)**

*Modular curves and abelian varieties*, 277–289, *Progr. Math.*, 224, Birkhäuser, Basel, 2004.

In an unguarded moment, P. Swinnerton-Dyer [in *Proc. Conf. Local Fields (Driebergen, 1966)*, 132–157, Springer, Berlin, 1967; MR0230727 (37 #6287)] wrote that if the group  $\text{III}(A)$  (of everywhere locally trivial  $K$ -torsors under an abelian variety  $A$  over a number field  $K$ ) is finite—as it is widely conjectured to be—then a theorem of Tate would imply that its order  $\#\text{III}(A)$  is a square, i.e. for every prime  $p$ ,

the exponent  $v_p(\mathfrak{m}(A))$  of  $p$  in  $\mathfrak{m}(A)$  is even.

What the results of J. Tate [in *Proc. Internat. Congr. Mathematicians (Stockholm, 1962)*, 288–295, Inst. Mittag-Leffler, Djursholm, 1963; MR0175892 (31 #168)] and M. Flach [J. Reine Angew. Math. **412** (1990), 113–127; MR1079004 (92b:11037)] do imply is that  $v_p(\mathfrak{m}(A))$  is even, if  $A$  admits a suitable polarisation (cf. Theorem 1.2). Admitting a principal polarisation is sufficient for the odd part of  $\mathfrak{m}(A)$  to have square order.

And indeed, B. Poonen and M. Stoll [Ann. of Math. (2) **150** (1999), no. 3, 1109–1149; MR1740984 (2000m:11048)] came up with an explicit Jacobian surface  $A$  over  $\mathbf{Q}$  such that  $\mathfrak{m}(A) = 2$ ; they also gave a criterion for the Jacobian variety  $A$  of a (smooth, projective, absolutely connected) curve  $X$  of genus  $g \geq 2$  over  $K$  to have odd  $v_2(\mathfrak{m}(A))$ : such is the case if the (finite) number of places of  $K$  where  $X$  fails to have a 0-cycle of degree  $g - 1$  is odd. Numerous further examples have been found by B. W. Jordan and R. A. Livné [Bull. London Math. Soc. **31** (1999), no. 6, 681–685; MR1711026 (2000j:11090)] and by S. Baba [J. Number Theory **87** (2001), no. 1, 96–108; MR1816038 (2002b:11085)].

The author gives the first examples of odd  $v_p(\mathfrak{m}(A))$  for an odd prime  $p$ . His main result implies that for every  $p < 25000$  (with  $p \neq 37$ ), there is a twist  $A$  of the power  $E^{p-1}$  of the abelian curve  $E: y^2 + y = x^3 - x$  (the curve 37A) such that  $v_p(\mathfrak{m}(A))$  is odd (Theorem 3.1). To get an example where  $v_{37}(\mathfrak{m}(A))$  is odd, use the curve 43A instead.

The restriction  $p < 25000$  (cf. Proposition 2.3) comes from the fact that for these primes his tireless computer has been able to find a certain auxiliary prime  $l$  (cf. Conjecture 2.1) needed for constructing  $A$ . A sample of his instructions to the computer is included.

The main result (Theorem 2.14) establishes an exact sequence

$$0 \rightarrow E(\mathbf{Q})/pE(\mathbf{Q}) \rightarrow {}_{p^\infty}\text{III}(A) \rightarrow {}_{p^\infty}\text{III}(E_L) \rightarrow {}_{p^\infty}\text{III}(E) \rightarrow 0$$

for an abelian curve  $E$  over  $\mathbf{Q}$  and an odd prime  $p$  which does not divide any of the Tamagawa numbers of  $E$  and for which  $\rho_{E,p}: \text{Gal}(\overline{\mathbf{Q}}|\mathbf{Q}) \rightarrow \text{Aut}({}_pE(\overline{\mathbf{Q}}))$  is surjective. The auxiliary prime  $l$  should be  $\equiv 1 \pmod{p}$ , it should not divide the conductor of  $E$ , the function  $L(E, \chi, s)$  should not vanish at  $s = 1$  for some—and hence for all  $p - 1$ —character(s)  $\chi: (\mathbf{Z}/l\mathbf{Z})^\times \rightarrow {}_p\mathbf{C}^\times$  of level  $l$  and order  $p$ , and, finally,  $p$  should not divide  $\text{Card } E(\mathbf{F}_l)$ . The degree- $p$  cyclic extension  $L$  is contained in the field of  $l$ th roots of 1, and  $A$  is the kernel of the trace map  $\text{Res}_{L|\mathbf{Q}} E_L \rightarrow E$ ; it turns out to be a twist of  $E^{p-1}$  (Proposition 2.4).

If  ${}_{p^\infty}\text{III}(E)$  is finite, then so are the other two  $\text{III}$  by a deep theorem of Kazuya Kato, applicable by the choice of  $l$ . In that case  $\text{rk } E(\mathbf{Q})$

and  $v_p(\mathfrak{m}(A))$  have the same parity, in view of the surjectivity of  $\rho_{E,p}$  and the fact that the last two groups in the displayed exact sequence are of square order. The author gets the desired examples of odd  $v_p(\mathfrak{m}(A))$  by choosing an  $E$  for which  $\text{rk } E(\mathbf{Q})$  is odd—such as the rank-1 curve 37A. For this curve he also verifies, for good measure, that  $\text{III} = \{0\}$ , using the results of Kolyvagin and the programmes of Cremona.

However,  $v_q(\mathfrak{m}(A))$  is even for every prime  $q \neq p$ , if  $q^\infty \text{III}(E)$  is finite (Proposition 2.16).

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*Chandan Singh Dalawat* (6-HCRI)

**MR2052021 (2005c:11070)** 11G05 11G18

**Stein, William** [Stein, William A.] (1-HRV);

**Watkins, Mark** [Watkins, Mark J.] (1-PAS)

**Modular parametrizations of Neumann-Setzer elliptic curves.**

*Int. Math. Res. Not.* **2004**, no. 27, 1395–1405.

Let  $E/\mathbf{Q}$  be an elliptic curve of conductor  $N$ . G. Stevens [Invent. Math. **98** (1989), no. 1, 75–106; MR1010156 (90m:11089)] conjectured that the optimal quotient of  $X_1(N)$  in the isogeny class of  $E$  is the curve in this isogeny class with minimal Faltings height. In this paper the authors verify Stevens' conjecture in the case where  $N$  is prime. To do so, first recall that in [J.-F. Mestre and J. Oesterlé, J. Reine Angew. Math. **400** (1989), 173–184; MR1013729 (90g:11078)] the isogeny class of an elliptic curve  $E/\mathbf{Q}$  of prime conductor  $p > 37$  contains exactly one curve, unless  $p = u^2 + 64$  and  $E$  is one of the two Neumann-Setzer curves [O. Neumann, Math. Nachr. **49** (1971), 107–123; MR0337999 (49 #2767a); B. Setzer, J. London Math. Soc. (2) **10** (1975), 367–378; MR0371904 (51 #8121)]:

$$E_0: y^2 + xy = x^3 - \frac{u+1}{4}x^2 + 4x - u,$$

$$E_1: y^2 + xy = x^3 - \frac{u+1}{4}x^2 - u.$$

To study Stevens' conjecture it then suffices to consider the second case. The Faltings height of  $E_1$  is smaller than that of  $E_0$ ; this follows by exhibiting an isogeny  $E_1 \rightarrow E_0$  that extends to an étale morphism of the respective Néron models. Analyze the kernel of this isogeny and of the natural map from the Jacobian of  $X_0(p)$  to that of  $X_1(p)$ , coupled with the fact that  $E_0$  is  $X_0(p)$ -optimal [J.-F. Mestre and J. Oesterlé, op. cit.], and it follows that  $E_1$  is  $X_1(p)$ -optimal.

By an intricate analysis of the Eisenstein ideals [B. Mazur, *Inst. Hautes Études Sci. Publ. Math.* No. 47 (1977), 33–186 (1978); MR0488287 (80c:14015)], the authors also show that the modular degree of  $E_0$  is odd if and only if  $u \equiv 3 \pmod{8}$ , and they post various conjectures concerning the parity of the modular degree of elliptic curves over  $\mathbf{Q}$  (sample Conjecture 4.2: there are infinitely many elliptic curves over  $\mathbf{Q}$  with odd modular degree). The paper ends with numerical data for the frequency of nontrivial  $p$ -III (presumably computed under the Birch-Swinnerton-Dyer conjecture) for the Neumann-Setzer curves. Siman Wong (1-MA-LMS)

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**MR2053457** 11F33 11F67 11F80 11G18

**Dummigan, Neil** (4-SHEF-PM);  
**Stein, William** [**Stein, William A.**] (1-HRV);  
**Watkins, Mark** [**Watkins, Mark J.**] (1-PAS)

**Constructing elements in Shafarevich-Tate groups of modular motives. (English. English summary)**

*Number theory and algebraic geometry*, 91–118, *London Math. Soc. Lecture Note Ser.*, 303, Cambridge Univ. Press, Cambridge, 2003.

**MR2029169 (2004k:11094)** 11G18 11F11 14H40

**Conrad, Brian** (1-MI); **Edixhoven, Bas** (NL-LEID-MI);  
**Stein, William** [**Stein, William A.**] (1-HRV)

**$J_1(p)$  has connected fibers. (English. English summary)**

*Doc. Math.* **8** (2003), 331–408 (*electronic*).

Let  $p$  be a prime number and  $J_1(p)$  the Jacobian of the moduli curve  $X_1(p)$  over  $\mathbf{Q}$  that parametrizes pairs  $(E, P)$  where  $E$  is an elliptic curve and  $P$  is a point of  $E$  of order  $p$ . One of the main results of the paper is that  $J_1(p)$  has trivial component group at  $p$ .

The proof involves the study of the component groups at  $p$  of Jacobians of intermediate curves between  $X_1(p)$  and  $X_0(p)$ . (The case of  $X_0(p)$  was treated by Mazur-Rapoport.) More precisely, for any subgroup  $H$  of  $(\mathbf{Z}/p\mathbf{Z})^\times / \{\pm 1\}$  the authors consider the curve  $X_H(p) =$

$X_1(p)/H$  and its Jacobian  $J_H(p)$ . They prove that the natural surjective map  $J_H(p) \rightarrow J_0(p)$  induces an injection  $\Phi(J_H(p)) \rightarrow \Phi(J_0(p))$  between the component groups of mod  $p$  fibers and that  $\Phi(J_H(p))$  is cyclic of order  $|H|/\gcd(|H|, 6)$  over  $\overline{\mathbf{F}}_p$ . Furthermore, viewing  $\Phi(J_0(p))$  as a quotient of  $(\mathbf{Z}/p\mathbf{Z})^\times/\{\pm 1\}$ , the image of  $\Phi(J_H(p))$  coincides with the image of  $H$ . In particular,  $\Phi(J_H(p))$  is always Eisenstein in the sense of Mazur and Ribet and  $\Phi(J_1(p))$  is trivial. In order to reach these results they compute a regular proper model of  $X_H(p)$  over  $\mathbf{Z}_{(p)}$ , adapting the classical Jung-Hirzebruch method for complex surfaces. This method enables them to resolve tame cyclic quotient singularities on curves over a discrete valuation ring.

The last part of the paper is devoted to computer computations concerning the arithmetic of  $J_1(p)$ . The authors give a conjectural formula for the order of the torsion subgroup of  $J_1(p)(\mathbf{Q})$ .

Alessandra Bertapelle (I-PADV-PM)

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**MR2041090** 11G05 11Yxx

**Stein, William A.** (1-HRV);

**Watkins, Mark [Watkins, Mark J.]** (1-PAS)

**A database of elliptic curves—first report.**

*Algorithmic number theory (Sydney, 2002)*, 267–275, *Lecture Notes in Comput. Sci.*, 2369, Springer, Berlin, 2002.

**MR1959271 (2004b:11072)** 11F80 11F11 11F25

**Lario, Joan-C.** (E-UPBMS); **Schoof, René** (I-ROME2)

**Some computations with Hecke rings and deformation rings.  
(English. English summary)**

With an appendix by Amod Agashe and William Stein.

*Experiment. Math.* **11** (2002), no. 2, 303–311.

Let  $E$  be the elliptic curve over  $\mathbf{Q}$  of conductor 142, having Weierstrass equation  $Y^2 + XY = X^3 - X^2 - X - 3$ . The representation  $\bar{\rho}: \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_2(\mathbf{F}_3)$  provided by the 3-torsion points is unramified outside 3 and 71. For  $N = 71, 142$  and 284 the authors determine explicitly the structure of the local Hecke algebra  $\mathbf{T}_N$  generated over  $\mathbf{Z}_3$  by the Hecke operators acting on the weight 2 and level  $N$  cusp forms whose associated mod 3 representation is isomorphic to  $\bar{\rho}$ . More precisely, they show that  $\mathbf{T}_N \simeq \mathbf{Z}_3[[X, Y]]/I_N$ , where generators of the ideals  $I_N$  are explicitly computed. By the results of A. J. Wiles [Ann. of Math. (2) **141** (1995), no. 3, 443–551; MR1333035 (96d:11071)] and R. L. Taylor and Wiles [Ann. of Math. (2) **141** (1995), no. 3, 553–572; MR1333036 (96d:11072)], in the case  $N = 71$  (resp.  $N = 284$ ) the algebra  $T_N$  is the universal deformation ring of  $\bar{\rho}$  for a deformation problem which is minimal (resp. non-minimal) at 71; it is a complete intersection, as we can directly see from the description given in this paper. For the case  $N = 142$  two natural Hecke algebras are considered, corresponding to the eigenvalues  $\pm 1$  for the Hecke operator  $T_2$ . Both algebras turn out to be complete intersections. The main tool of the construction is the determination, in the appendix, of a bound (depending on the level  $N$ ) on the greatest index  $n$  such that the Hecke operators  $T_r$  with  $r \leq n$  generate the whole Hecke algebra. This allows the authors to do computations by dealing with a finite number of vectors with entries in  $\mathbf{Z}_3$ . *Lea Terracini* (I-TRIN)

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**MR1939144 (2003h:11070)** 11G40 11G10 14K15

**Agashe, Amod** (1-TX);

**Stein, William** [**Stein, William A.**] (1-HRV)

**Visibility of Shafarevich-Tate groups of abelian varieties.**  
**(English. English summary)**

*J. Number Theory* **97** (2002), no. 1, 171–185.

To a short exact sequence  $0 \rightarrow A \rightarrow J \rightarrow Q \rightarrow 0$  of abelian varieties over a field  $K$  corresponds a long exact sequence

$$0 \rightarrow A(K) \rightarrow J(K) \rightarrow Q(K) \rightarrow H^1(K, A) \rightarrow H^1(K, J) \rightarrow \cdots$$

of cohomology groups. B. C. Mazur says that a class  $c \in H^1(K, A)$  is visible in  $J$  if it gets killed in  $H^1(K, J)$ . The authors show that every class  $c$  is visible in some  $J$  (Proposition 1.3)—indeed, one can take  $J$  to have dimension less than  $dn^{2d}$ , where  $d$  is the dimension of  $A$  and  $n$  is the order of  $c$  in  $H^1(K, A)$  (Proposition 2.3).

When  $K$  is a number field, the notion of visibility in  $J$  applies to elements of the subgroup  $\text{III}(A) \subset H^1(K, A)$  of those classes whose restriction to every completion of  $K$  is trivial. If  $d = 1$ , the upper bound  $dn^{2d} = n^2$  can be improved to  $n$  for elements of  $\text{III}(A)$  (Proposition 2.4).

The main theorem (Theorem 3.1) provides a method for constructing elements of the kernel of  $\text{III}(A) \rightarrow \text{III}(J)$ , which is the  $J$ -visible

subgroup of  $\text{III}(A)$ . Namely, if one can find an abelian subvariety  $B \subset J$  and an integer  $n$  satisfying a certain number of properties which are too technical to reproduce here, then there is a natural map  $\varphi$  from  $B(K)/nB(K)$  to the  $J$ -visible subgroup of  $\text{III}(A)$ ; the order of the kernel of  $\varphi$  is at most  $n^r$ , where  $r$  is the rank of  $A(K)$ .

As an application, the authors give an example (Proposition 4.1) of a 20-dimensional abelian subvariety  $A$  of  $J_0(389)$  and an elliptic curve  $B \subset J_0(389)$  such that by taking  $J = A + B$  and  $n = 5$  in the main theorem, one concludes that  $\varphi$  embeds  $(\mathbf{Z}/5\mathbf{Z})^2$  into the subgroup of  $J$ -visible elements of  $\text{III}(A)$ , thus providing evidence for the Birch and Swinnerton-Dyer conjecture in this case.

As another application (Proposition 4.2), the authors treat the elliptic curve  $E$  of conductor 5389 considered by J. E. Cremona and B. C. Mazur [Experiment. Math. **9** (2000), no. 1, 13–28; MR1758797 (2001g:11083)] for which the conjectural order of  $\text{III}(E)$  is 9 but no element of order 3 is visible in  $J_0(5389)$ . The authors produce 9 elements of  $\text{III}(E)$  and show that they are all visible at the higher level of  $J_0(7 \cdot 5389)$ .  
*Chandan Singh Dalawat* (6-HCRI)

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(93h:11124)

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**MR1900139 (2003c:11059)** 11G05 11G18

**Stein, William A.** (1-HRV)

**There are genus one curves over  $\mathbb{Q}$  of every odd index.**

**(English. English summary)**

*J. Reine Angew. Math.* **547** (2002), 139–147.

For a genus 1 curve  $X$  over a field  $K$ , let  $r$  be the smallest degree of an extension  $L|K$  such that  $X(L)$  is non-empty, called the index of  $X|K$ . The author shows, for each  $r$  not divisible by 8, that there are infinitely many genus 1 curves over  $K$  of index  $r$ , partially answering a question of S. Lang and J. Tate [Amer. J. Math. **80** (1958), 659–684; MR0106226 (21 #4960)]. The paper starts by giving a cohomological definition of the index  $r$  of  $X|K$  and then some background on Heegner points and Kolyvagin's Euler system. The author proves an intermediate result for  $K = \mathbf{Q}$  using Kolyvagin's Euler system. Using some additional computations, the author then deduces the main result by considering twists of  $E = X_0(17)$ . *Imin Chen* (3-SFR-MS)

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**MR1897901 (2003c:11052)** 11F80

**Buzzard, Kevin** (4-LNDIC); **Stein, William A.** (1-HRV)

**A mod five approach to modularity of icosahedral Galois representations. (English. English summary)**

*Pacific J. Math.* **203** (2002), no. 2, 265–282.

Let  $\rho: \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_2(\mathbf{C})$  be a continuous irreducible two-dimensional complex representation of the absolute Galois group of the field  $\mathbf{Q}$  of rational numbers. Assume further that  $\rho$  is odd, that is, the image of a complex conjugation element in  $\text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$  has determinant  $-1$ . A special case of the strong Artin conjecture asserts that there should be a weight one cuspidal newform  $f$  whose  $L$ -function  $L(s, f)$  matches the Artin  $L$ -function  $L(s, \rho)$  attached to  $\rho$ ; briefly,  $\rho$  should be modular. The conjecture is known to hold when the image of  $\rho$  (a finite subgroup of  $\text{GL}_2(\mathbf{C})$ ) is solvable [R. P. Langlands, *Base change for  $\text{GL}(2)$* , Ann. of Math. Stud., 96, Princeton Univ. Press, Princeton, N.J., 1980; MR0574808 (82a:10032); J. Tunnell, Bull. Amer. Math. Soc. (N.S.) **5** (1981), no. 2, 173–175; MR0621884 (82j:12015)]. In the remaining cases the projective image of  $\rho$  in  $\text{PGL}_2(\mathbf{C})$  is isomorphic to the alternating group  $A_5$ , the group of rotational symmetries of the icosahedron. For these “icosahedral” Artin representations modularity was (until recently—see below) unknown except in a handful of cases [J. P. Buhler, *Icosahedral Galois representations*, Lecture Notes in Math., 654, Springer, Berlin, 1978; MR0506171 (58 #22019); *On Artin’s conjecture for odd 2-dimensional representations*, Lecture Notes in Math., 1585, Springer, Berlin, 1994; MR1322315 (95i:11001)].

In the paper under review, Buzzard and Stein demonstrate an effective computational approach to proving the modularity of a class of icosahedral Artin representations. They apply this approach to eight representations, thereby demonstrating the modularity of each. The approach is described in detail for the first representation, of conductor  $1376 = 2^5 \cdot 43$ , and the necessary data for carrying out the computations for the remaining seven examples are provided.

A summary of the approach: Suppose that  $\rho$  is an icosahedral representation which is unramified at 5, and for which the eigenvalues of a Frobenius element at 5 have distinct reduction modulo 5. By the main theorem of [K. Buzzard and R. L. Taylor, Ann. of Math. (2) **149** (1999), no. 3, 905–919; MR1709306 (2000j:11062)], it suffices to establish that the mod 5 reduction  $\bar{\rho}$  of  $\rho$  is modular; that is, that there is some mod 5 cuspidal eigenform  $f$  such that for all but finitely many primes  $p$  the eigenvalue of the Hecke operator  $T_p$  on  $f$  is equal to the trace of  $\bar{\rho}$  applied to a Frobenius element at  $p$ . By computing

the space of mod 5 modular forms of weight 5 and appropriate level, the authors identify a mod 5 modular form  $f$  whose first few Hecke eigenvalues match the corresponding traces of Frobenius of  $\bar{\rho}$ ; this form is then almost certainly the one required. They then compute enough information about the icosahedral extension of  $\mathbf{Q}$  cut out by the mod 5 representation  $\bar{\rho}_f$  associated to  $f$  to identify it uniquely as an element of Table 1 of [*On Artin's conjecture for odd 2-dimensional representations*, Lecture Notes in Math., 1585, Springer, Berlin, 1994; MR1322315 (95i:11001)], which lists icosahedral extensions of  $\mathbf{Q}$  of small discriminant, and hence match  $\bar{\rho}_f$  with  $\bar{\rho}$ .

The paper also contains a result which makes it practical to determine computationally when two normalized cuspidal eigenforms of the same level  $N > 4$ , weight  $k$  and character, over a field of characteristic not dividing  $N$ , are equal: essentially, the number of coefficients of the  $q$ -expansions of the eigenforms that have to be checked to guarantee equality is at worst linear in  $N$  (for fixed  $k$ ). For computational purposes, this improves considerably on similar results of J. Sturm [in *Number theory (New York, 1984–1985)*, 275–280, Lecture Notes in Math., 1240, Springer, Berlin, 1987; MR0894516 (88h:11031)] which require checking on the order of  $N^3$  coefficients.

After the first draft of this paper was written, two more relevant articles appeared [K. Buzzard et al., *Duke Math. J.* **109** (2001), no. 2, 283–318; MR1845181 (2002k:11078); R. Taylor, “On icosahedral Artin representations. II”, to appear]. Each of these establishes the modularity of a general icosahedral Artin representation, subject to various local conditions. However, none of the eight examples in this paper is covered by the first of these articles, and only three of them by the second.

Mark Edward Tristan Dickinson (1-PITT)

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**MR1901355 (2003m:11074)** 11F67

**Stein, William A.** (1-HRV); **Verrill, Helena A.** (D-HANN-IM)

**Cuspidal modular symbols are transportable. (English. English summary)**

*LMS J. Comput. Math.* **4** (2001), 170–181 (*electronic*).

Summary: “Modular symbols of weight 2 for a congruence subgroup  $\Gamma$  satisfy the identity  $\{\alpha, \gamma(\alpha)\} = \{\beta, \gamma(\beta)\}$  for all  $\alpha, \beta$  in the extended upper half plane and  $\gamma \in \Gamma$ . The analogue of this identity is false for modular symbols of weight greater than 2. This paper provides a definition of transportable modular symbols, which are symbols for which an analogue of the above identity holds, and proves that every cuspidal symbol can be written as a transportable symbol. As a corollary, an algorithm is obtained for computing periods of cusp forms.”

**MR1879817 (2003f:11087)** 11G18 11G10 11G40 14K15

**Conrad, Brian** (1-MI); **Stein, William A.** (1-HRV)

**Component groups of purely toric quotients. (English. English summary)**

*Math. Res. Lett.* **8** (2001), no. 5-6, 745–766.

Let  $R$  be a discrete valuation ring,  $K$  its field of fractions and  $k$  its residue field. Suppose  $J$  is an abelian variety over  $K$ , endowed with a symmetric principal polarization and let  $\pi: J \rightarrow A$  be an optimal quotient of  $J$  meaning that the kernel of  $\pi$  is an abelian variety.

The principal polarization on  $J$  induces a polarization  $\theta_A$  on  $A$ , whose degree is the square of a positive integer  $m_A$ .

Assume that the special fibre of the Néron model of  $A$  is the extension of a finite group  $\Phi_A$  by a torus. Let  $X_A$  denote the group of  $\bar{k}$ -characters of this torus.

Similarly, let  $X_J$  denote the group of  $\bar{k}$ -characters of the toric part of the special fibre of the Néron model of  $J$ .

A. Grothendieck defined in [*Groupes de monodromie en géométrie algébrique. I*, Lecture Notes in Math., 288, Springer, Berlin, 1972; MR0354656 (50 #7134)] a monodromy pairing between  $X_A$  and  $X_{A^\vee}$ , inducing an exact sequence

$$0 \rightarrow X_{A^\vee} \rightarrow \text{Hom}(X_A, \mathbf{Z}) \rightarrow \Phi_A \rightarrow 0$$

and similarly for  $J$ , the symmetric principal polarization on  $J$  allowing one to write the pairing as

$$0 \rightarrow X_J \rightarrow \text{Hom}(X_J, \mathbf{Z}) \rightarrow \Phi_J \rightarrow 0.$$

By functoriality of Néron models and characters,  $\pi: J \rightarrow A$  induces a map  $\pi^*: X_A \rightarrow X_J$ , the saturation of whose image is denoted by  $\mathcal{L}$ . One deduces from the monodromy pairing a map  $\alpha: X_J \rightarrow \text{Hom}(\mathcal{L}, \mathbf{Z})$ ; let  $\Phi_X$  be its cokernel. Moreover, let  $m_X$  be the order of the finite group  $\alpha(X_J)/\alpha(\mathcal{L})$ .

The main result of this paper (Theorem 6.1) implies the equality

$$\frac{\#\Phi_A}{m_A} = \frac{\#\Phi_X}{m_X}.$$

This situation is quite common in the context of modular forms, where  $J$  is the Jacobian of a modular curve and  $A$  arises from a newform. Using modular symbols, one can then compute  $m_A$  explicitly. Moreover, using the method of graphs or the ideal theory of quaternion algebras, one can compute  $m_X$  and  $\Phi_X$ . The main theorem of this paper can thus be used to compute  $\#\Phi_A$ .

Two tables of computations are given.

It should finally be noted that this paper also offers proofs of some more or less well-known facts concerning group schemes, but for which adequate references are missing. They certainly will be of independent interest.

*Antoine Chambert-Loir* (F-RENNB-IM)

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**MR1860042 (2002h:11047)** 11F80 11F66 11G05

**Ribet, Kenneth A.** (1-CA); **Stein, William A.** (1-HRV)

**Lectures on Serre's conjectures.**

*Arithmetic algebraic geometry (Park City, UT, 1999)*, 143–232,  
*IAS/Park City Math. Ser.*, 9, Amer. Math. Soc., Providence, RI, 2001.  
This is a nicely written survey article on the conjectures in the title of the paper. The conjectures of Serre in question are about the modularity of mod  $p$ , 2-dimensional, continuous, odd, absolutely irreducible representations of the absolute Galois group  $G_{\mathbf{Q}}$  of  $\mathbf{Q}$ . There is a more refined version which also predicts certain minimal modular invariants from which these Galois representations arise. While the conjectures in their qualitative form are still wide open there has been considerable progress in proving that the qualitative form of the conjecture implies the refined form. It is this implication, which is a consequence of deep work of many mathematicians, that this paper surveys in the main. The paper also has useful exercises that will be of help to someone wishing to learn about this area, and two appendices by K. Buzzard and B. Conrad on mod  $l$  multiplicity one principles and constructions of Galois representations attached to weight 2 newforms.

{For the entire collection see MR1860012 (2002d:11003)}

*Chandrashekhara Khare* (1-UT)

**MR1857596 (2003d:11082)** 11G05

**Merel, Loïc** (F-PARIS6-MI); **Stein, William A.** (1-HRV)

**The field generated by the points of small prime order on an elliptic curve.**

*Internat. Math. Res. Notices* **2001**, no. 20, 1075–1082.

Let  $p$  be a prime number, and let  $\mathbf{Q}(\mu_p)$  denote the  $p$ th cyclotomic field. The authors prove the following theorem: If there exists an elliptic curve over  $\mathbf{Q}(\mu_p)$  such that the points of order  $p$  on  $E$  are all  $\mathbf{Q}(\mu_p)$ -rational, then  $p = 2, 3, 5, 13$ , or  $p > 1000$ . (In addition, the case  $p = 13$  has recently been ruled out by M. Rebolledo.) This result generalizes previous results of L. Merel [*Duke Math. J.* **110** (2001), no. 1, 81–119; MR1861089 (2002k:11080)], and the techniques used in the two papers are similar. The main new ingredient is the (quite nontrivial) verification of a technical hypothesis on  $p$  involving the non-vanishing of certain  $L$ -functions. The reduction (for each fixed  $p$ ) of the main theorem to the verification of this hypothesis is discussed in Sections 1 and 2 of the paper. The computational methods used

for verifying the hypothesis are described in detail in Section 3.

*Matthew H. Baker* (1-GA)

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**MR1836926 (2002d:11072)** 11G40 11G10 11G30

**Flynn, E. Victor** (4-LVRP); **Leprévost, Franck** (F-GREN-F);  
**Schaefer, Edward F.** (1-STCL-CS); **Stein, William A.** (1-HRV);  
**Stoll, Michael** (D-DSLD-MI); **Wetherell, Joseph L.** (1-SCA)

**Empirical evidence for the Birch and Swinnerton-Dyer conjectures for modular Jacobians of genus 2 curves.**  
(English. English summary)

*Math. Comp.* **70** (2001), no. 236, 1675–1697 (*electronic*).

For an abelian variety  $A$  over a number field  $K$ , the conjectures of B. J. Birch and H. P. F. Swinnerton-Dyer [J. Reine Angew. Math. **218** (1965), 79–108; MR0179168 (31 #3419)] and of J. T. Tate [in *Séminaire Bourbaki*, Vol. 9, Exp. No. 306, 415–440, Soc. Math. France, Paris, 1995; see MR1610880 (99f:00041) MR1610977] relate arithmetic properties of  $A$  to the analytic behaviour of its  $L$ -function  $L(A, s)$ . The first conjecture states that the rank of the (finitely generated commutative) group  $A(K)$  of  $K$ -rational points on  $A$  is equal to the order of vanishing of the function  $L(A, s)$  at  $s = 1$ . The second conjecture expresses the leading coefficient  $L^*(A, 1)$  in the Taylor expansion of  $L(A, s)$  at  $s = 1$  in terms of certain arithmetic invariants of  $A$ , among them the order of the group  $\text{III}(A, K)$  of those principal homogeneous  $A$ -spaces over  $K$  which become isomorphic to  $A$  over every completion of  $K$ .

Each of these conjectures requires an act of faith even for its

statement. For the first one, the analytic continuation of the function  $L(A, s)$  to a domain containing the point  $s = 1$  needs to be assumed; for the second, the finiteness of the group  $\text{III}(A, K)$  needs to be assumed as well. As of now, neither of these two requirements is known to hold in general.

However, for modular abelian varieties  $A$  over  $\mathbf{Q}$ , the analytic continuation of  $L(A, s)$  to the whole of  $\mathbf{C}$  is known. For such varieties, V. A. Kolyvagin and others [V. A. Kolyvagin and D. Yu. Logachëv, *Algebra i Analiz* **1** (1989), no. 5, 171–196; MR1036843 (91c:11032)] have shown that if the  $L$ -function  $L(A, s)$  has at most a simple zero at  $s = 1$ , then the order of vanishing equals the rank of the group  $A(\mathbf{Q})$  (as predicted by the first conjecture) and the group  $\text{III}(A, \mathbf{Q})$  is finite (so the statement of the second conjecture is meaningful).

One of the triumphs of recent years has been to show that all 1-dimensional abelian varieties over  $\mathbf{Q}$  are modular [C. Breuil et al., *J. Amer. Math. Soc.* **14** (2001), no. 4, 843–939 (electronic); MR1839918 (2002d:11058)]. Extensive calculations, beginning with Birch and Swinnerton-Dyer in the early 1960s on one of the first electronic computers at Cambridge, have lent support to the conjectures in this 1-dimensional case [J. E. Cremona, *Algorithms for modular elliptic curves*, Second edition, Cambridge Univ. Press, Cambridge, 1997; MR1628193 (99e:11068)].

The authors extend these calculations to some 2-dimensional cases. They consider thirty-two curves  $C$  of genus 2 over  $\mathbf{Q}$  whose Jacobians  $J$  are modular abelian surfaces. For each  $J$  they compute, with a high degree of precision, the leading coefficient  $L^*(J, 1)$  and the arithmetic invariants  $t$  (the order of the torsion subgroup of  $J(\mathbf{Q})$ ),  $c$  (the product of the local Tamagawa numbers at the finite places),  $R$  (the regulator) and  $\Omega$  (the period). Within the accuracy of their computations, the number  $L^*(J, 1)t^2/cR\Omega$ —conjecturally the order of the group  $\text{III}(J, \mathbf{Q})$ —does turn out to be an integer. In all thirty-two cases, this integer happens to be equal to the order of the 2-torsion subgroup of  $\text{III}(J, \mathbf{Q})$ . So the second conjecture has been reduced for them to the statement that the number  $L^*(J, 1)t^2/cR\Omega$  is an integer and the group  $\text{III}(J, \mathbf{Q})$  is annihilated by 2. As an example, for the last curve on their list, namely

$$y^2 + (x^3 + x + 1)y + (x^3 - x^2 - x) = 0,$$

the Jacobian  $J$  satisfies the conjecture if the number  $L^*(J, 1)t^2/cR\Omega$ , which agrees with 1 to 44 decimal places, is indeed equal to 1 and if the group  $\text{III}(J, \mathbf{Q})$  is trivial. *Chandan Singh Dalawat* (6-HCRI)

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**MR1850621 (2002h:11051)** 11G18 11F11 11G10 11G40 14G35

**Kohel, David R.** (5-SYD); **Stein, William A.** (1-CA)

**Component groups of quotients of  $J_0(N)$ . (English. English summary)**

*Algorithmic number theory (Leiden, 2000)*, 405–412, *Lecture Notes in Comput. Sci.*, 1838, Springer, Berlin, 2000.

Let  $A$  be an abelian variety over  $\mathbf{Q}$  and let  $p$  be a prime number. An important arithmetic invariant attached to  $A$  and  $p$  is the order of the group  $\Phi_{A,p}$  of connected components of the reduction modulo  $p$  of the Néron model of  $A$  over  $\mathbf{Z}$ .

To each modular newform  $f$  of weight 2 for the congruence subgroup  $\Gamma_0(N)$  ( $N \geq 1$ ), Shimura has associated an abelian variety  $A_f$  defined over  $\mathbf{Q}$ ; it is a certain quotient of  $J_0(N)$  and has good reduction at primes which do not divide  $N$ .

The authors give an algorithm for computing the order of  $\Phi_{A_f,p}$  when the prime  $p$  divides  $N$  but  $p^2$  does not divide  $N$ . They include a table listing these orders when  $N \leq 127$ .

{For the entire collection see MR1850596 (2002d:11002)}

*Chandan Singh Dalawat* (6-HCRI)