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Modular curves and the Eisenstein ideal.

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The main subjects treated in this paper are: (i) classification of rational points of finite order (respectively, rational isogeny) of elliptic curves over a fixed number field K , and (ii) study of rational points of $X_0(N)$ and its Jacobian J , where $X_0(N)$ denotes the modular curve over \mathbf{Q} associated to $\Gamma_0(N)$. As for the first problem (i), when K is \mathbf{Q} , there is a conjecture of A. Ogg which asserts that the group of \mathbf{Q} -rational points of an elliptic curve over \mathbf{Q} is isomorphic to one of the following 15 groups: $\mathbf{Z}/m\mathbf{Z}$ ($m \leq 10$ or $m = 12$) or $\mathbf{Z}/2\mathbf{Z} \times \mathbf{Z}/2\nu\mathbf{Z}$ ($\nu \leq 4$). The author verifies this conjecture by showing that there is no elliptic curve over \mathbf{Q} which has \mathbf{Q} -rational points of order N when N is prime and $N = 11$ or $N \geq 17$. (Work of D. Kubert had reduced the problem to this case.) The proof is based on the fact labeled (III) below concerning rational points of J .

To describe the results on the second problem (ii), to which most of this paper is devoted, let N be a prime number and let n be the numerator of $(N - 1)/12$. We hereafter assume that $n > 1$ (or equivalently, $N = 11$ or $N \geq 17$). It had been proved by Ogg that the divisor class of $(0) - (\infty)$ in J , where 0 and ∞ denote two cusps on $X_0(N)$, has order n . One of the main results on the structure of $J(\mathbf{Q})$ is: (I) the torsion part of $J(\mathbf{Q})$ is a cyclic group of order n generated by the class of $(0) - (\infty)$. In addition, the following result is obtained: (II) the “Shimura subgroup” is the maximal “ μ -type” subgroup of J , where μ -type means that it is the Cartier dual of a constant group, and the Shimura subgroup is a μ -type cyclic subgroup of order n in J obtained from an étale covering of $X_0(N)$. To prove these results (both of which had been conjectured by Ogg) and to obtain more information about the rational points of J , the author introduces the “Eisenstein ideal” in the Hecke algebra. Namely, let \mathbf{T} (the Hecke algebra) denote the \mathbf{Z} -algebra generated by the Hecke operators T_l (l prime, $\neq N$) and the involution w , which corresponds to $\begin{pmatrix} 0 & -1 \\ N & 0 \end{pmatrix}$, acting on the space of cusp forms of weight 2 with respect to $\Gamma_0(N)$. By definition, the Eisenstein ideal \mathfrak{J} of \mathbf{T} is the ideal generated by $1 - T_l + l$ (l prime, $\neq N$) and $1 + w$. \mathbf{T} naturally acts on J , and one can decompose it (up to \mathbf{Q} -isogeny, or equivalently \mathbf{C} -isogeny by a result of K. Ribet) according to the decomposition of $\text{Spec}(\mathbf{T})$ into its irreducible components. Let \tilde{J} (the Eisenstein quotient of J) be the quotient by an abelian subvariety of J , whose simple factors correspond to the irreducible components of $\text{Spec}(\mathbf{T})$ which meet the support of \mathfrak{J} . Then it is true that: (III) the Mordell-Weil group $\tilde{J}(\mathbf{Q})$ is finite, and the natural map $J \rightarrow \tilde{J}$ induces an isomorphism of the torsion part of $J(\mathbf{Q})$ onto $\tilde{J}(\mathbf{Q})$. From this, one easily obtains: (IV) the group of \mathbf{Q} -rational points of $X_0(N)$ is finite (for N as above). Next, let $J_+ = (1 + w)J$, and let J^- be the quotient of J by J_+ . Then it is proved that $J \rightarrow \tilde{J}$ factors through $J \rightarrow J^-$, and (V) the Mordell-Weil group $J_+(\mathbf{Q})$ is torsion free and of positive rank if $\dim J_+ \geq 1$ (the latter assertion being in accord with the conjecture of Birch and Swinnerton-Dyer).

To obtain these results, one needs a detailed study of the algebra \mathbf{T} and the division points of J

by ideals of \mathbf{T} , especially by \mathfrak{J} and the prime ideals containing \mathfrak{J} . This is done in Chapter II of this paper. The main tools are the theory of (quasi-) finite flat group schemes over \mathbf{Z} (Chapter I), and the theory of modular forms over rings (the first part of Chapter II). The above results (I)-(V) (and others) are then established in Chapter III. Also in the final two sections, some relevant results in connection with the earlier works of the author are obtained. For a more detailed survey of the content of this paper, the reader is referred to the paper by the author and J.-P. Serre [Séminaire Bourbaki (1974/1975), Exp. No. 469, pp. 238–255, Lecture Notes in Math., Vol. 514, Springer, Berlin, 1976; [MR0485882 \(58 #5681\)](#)]. We note finally that the problem (ii) concerning the \mathbf{Q} -rational isogeny (of prime degree) has been solved by the author in a subsequent paper [Invent. Math. **44** (1978), no. 2, 129–162].

Reviewed by *M. Ohta*

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