

Bott — The Symbol of an Operator; a Reminiscence

Stein (1)
11/17/03

(Basic Notions)

1949: IAS, I didn't know abstract math

\bar{A} — closure? I didn't know.

Hermann Weyl: Hodge Theory

— make proof rigorous!

Kodaira, de Rham

$$M \quad \Omega^0(M) \xrightarrow{d \text{ "differentiation" }} \Omega^1(M) \xrightarrow{d} \dots \xrightarrow{d} \Omega^n(M)$$

$$H_{DR}(M) = \ker(d) / \text{im}(d)$$

$$\underline{H^0(M)}$$

Very beautiful
work well with pullback, etc.

Bad for physicists since
they don't like "quotient spaces"

Hodge ~~theory~~ "breaks the symmetry."

First nontrivial, and surprising remark:

M compact $\Rightarrow H^0(M) \cong \mathbb{R}$
finite dimensional,

(a complicated proof is
due to Leray — he gave it
at IAS when I was there)

Riemannian metric:

$$ds^2 = g_{ij} dx^i dx^j$$

$$\langle \omega, \eta \rangle = \int_M \omega \wedge \eta$$

inner product on Riemannian manifold.

$$\text{induces maps } d^*: \Omega^i(M) \rightarrow \Omega^{i-1}(M)$$

Let $\square = dd^* + d^*d$: preserves each $\Omega^q(M)$.

Thm of Hodge: $\text{Ker } \square|_q = \mathcal{H}^q(M) \cong H^q(M)$

so each cohomology class has a ~~unique~~ representative in $\text{Ker } \square|_q$, uniquely!

Example: $S^1 = M$

$\Omega^0 = \mathcal{F} = \text{functions}$, $\Omega^1 = \mathcal{F}$
 = periodic C^∞ functions,

$f \longmapsto f'$ $d = \text{derivative} = \frac{d}{dx}$
 $d^* = -d/dx$

so $\square|_0 = -\frac{d^2}{dx^2}$, $\square|_1 = -\frac{d^2}{dx^2}$

Fourier series: $f = a_0 + a_1 \cos x + b_1 \sin x + \dots + a_n \cos nx + b_n \sin nx$

$\square(f) = -\left(a_1 \cos x + b_1 \sin x + \dots - n^2 a_n \cos nx - n^2 b_n \sin nx \right)$

so $\text{ker}(\square) = \text{constant functions}$.

More Modern Version of Hodge Theory -

"What is dx , Kodaira?" \rightarrow "A small piece of surface!" - Kodaira

• Slap! - Weil \rightarrow "vector bundle" later terminology

• Chevalley - precise language

diff. = cross section of exterior power of tangent bundle

$\Omega^0 \rightleftarrows \Omega^1 \dots \rightleftarrows \Omega^n$

$\square \quad \square \quad \square$

Decompose into eigenspace of Laplacian:

$\Omega^q(M) = \bigoplus_{\lambda \rightarrow \infty} \Omega_\lambda^q(M)$ \leftarrow finite dimensional.
 \uparrow
 eigenvalues of \square
 over a discrete set

Beautiful generalization of Fourier series to any curved manifolds.

$d\square = \square d$ since $d^2 = 0$.

$dd^* + d^*d$

Direct sum of finite-dimensional problems:

$d_\lambda: \Omega_\lambda^0(M) \rightarrow \Omega_\lambda^1(M) \rightarrow \dots \rightarrow \Omega_\lambda^n(M)$

Natural thing: "Cohomology of whole thing is direct sum of pieces."

yes but

$d_\lambda d_\lambda^* + d_\lambda^* d_\lambda = \lambda$ on $\Omega_\lambda^q(M)$

if $\lambda \neq 0$, get $\frac{d_\lambda d_\lambda^*}{\lambda} + \frac{d_\lambda^* d_\lambda}{\lambda} = 1$

$\frac{d_\lambda^* (d_\lambda^*(\varphi))}{\lambda} + \frac{d_\lambda^* (d_\lambda(\varphi))}{\lambda} = \varphi$

No cohomology for $\lambda \neq 0$!

To make precise, would have to characterize coeff. of C^∞ functions.

□ We mainly thought in terms of "second order operators" diff. equations people

Bott ③
Basic Notions

Ask more generally when do such theorems like Hodge's hold?

$$\square \quad a_{11} \frac{\partial}{\partial x_1^2} + 2a_{12} \frac{\partial}{\partial x_1 \partial x_2} + a_{22} \frac{\partial}{\partial x_2^2} + \dots$$

$$a_{ij}(x_1, x_2) = \sigma_{\square}(x, \xi) = a_{11} \xi_1^2 + 2a_{12} \xi_1 \xi_2 + a_{22} \xi_2^2 = \text{symbol of the operator.}$$

□ Elliptic $\Leftrightarrow a_{\square}(x, \xi) \neq 0$ for ξ real and not $\neq 0$.

Hyperbolic \Leftrightarrow one eigenvalue negative

Parabolic \Leftrightarrow semidefinite.

If D is a differential operator on \mathbb{R}^n (linear)

$$D = \sum_{|J| \leq m} a_J \frac{\partial^J}{\partial x^J}$$

$$\text{Symbol}(D) = \sigma(x, \xi) = \sum_{|J|=m} a_J \xi^J$$

Elliptic diff. equation: $\sigma(x, \xi) \neq 0$ for ξ real and $\neq 0$

Shrewdness of Hodge theory to use \square cost us 10 years!

$$\Omega^{\text{even}} : d + d^* \rightarrow \Omega^{\text{odd}}$$

easy: Harmonic forms = kernel of this operator.

So Hodge theory tells you about a system of diff. operators.

I thought about this in 1960s ... I was drinking seriously at this cocktail party ... Atiyah learned:

Elliptic: ker, cokernel finite dimensional
difference of dim is invariant under deformation.

$$D = \sum_{|J| \leq m} A_J \frac{\partial}{\partial x^J}$$

$$\sigma_D(x, \xi) = \sum_{|J|=m} A_J \xi^J$$

call it elliptic if $\det(\sigma_D(x, \xi)) \neq 0$ for ξ real and $\neq 0$.

Mathematicians don't like matrices, so say $\sigma_D(x, \xi) \in GL_k(\mathbb{R})$.

$$\boxed{|\xi|=1, \sigma_D(x)}$$

For all real $\xi \neq 0$,

Interpret the symbol as a map $\sigma_D(x) : S^{n-1} \rightarrow GL_k(\mathbb{R}) \rightarrow \text{homotopy class!}$

$$[\sigma_D(x)] \in \pi_{n-1}(GL_k(\mathbb{R})) \rightarrow \pi_{n-1}(GL_\infty(\mathbb{R}))$$

ditto to stable homotopy groups.

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Virulence

I don't know why I call it this
 maybe has to do with male phenomenon.

Example: $\frac{\partial}{\partial z}(u+iv) = \left(\frac{\partial}{\partial x} - i\frac{\partial}{\partial y}\right)(u+iv)$

Cauchy Riemann
 eqn: write them like
 you should but never do

$$\begin{pmatrix} \partial_x & -\partial_y \\ \partial_y & \partial_x \end{pmatrix}$$

Symbol: $\det \begin{pmatrix} \xi_1 & \xi_2 \\ -\xi_2 & \xi_1 \end{pmatrix} = \xi_1^2 + \xi_2^2 = 1$ to find homotopy element

$S^1 \rightarrow$ orthogonal matrices $\subseteq GL_2(\mathbb{R})$

σ_D induces an isomorphism to homotopy
 $[\sigma_D]$ generates $\pi_1(GL_2) \simeq \pi_1(GL_\infty)$.

Late '50, early '60s — we thought in terms of symbols.

One evening Dirac equation — I fiddled with them:

Symbol of Dirac equation in various dimensions.

$$\left[\xi_0 \cdot 1 + \xi_2 \cdot J \right]$$

$$J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, J^2 = -1$$

Dirac operator:

$$\phi \leftrightarrow \left(\xi_0 - \sum \xi_i e_i \right)$$

Why elliptic: $\left(\xi_0 - \sum \xi_i e_i \right) \left(\xi_0 + \sum \xi_i e_i \right) = \xi_0^2 + \xi_1^2 + \dots + \xi_n^2$

That night I computed these Clifford algebras.

n	C_n clifford algebra	M ✓	$\dim(M)$	structure of group of representati (Grothendieck group)
0	\mathbb{R}	\mathbb{Z}	1	$\mathbb{Z}/2\mathbb{Z}$
1	\mathbb{C}	\mathbb{Z}	2	$\mathbb{Z}/2\mathbb{Z}$
2	\mathbb{H} (quaternions)	\mathbb{Z}	4	0
3	$\mathbb{H} \oplus \mathbb{H}$	$\mathbb{Z} \oplus \mathbb{Z}$	4	\mathbb{Z}
4	$M_2(\mathbb{H}) = 2 \times 2$ matrices over \mathbb{H}	\mathbb{Z}	8	0
5	$M_4(\mathbb{C})$	\mathbb{Z}	8	0
6	$M_8(\mathbb{R})$	\mathbb{Z}	8	0
7	$M_8(\mathbb{R})$	$\mathbb{Z} \oplus \mathbb{Z}$	8	\mathbb{Z}
8	$M_{16}(\mathbb{R})$			

Natural map

$M_5 \rightarrow M_{5-}$

Then it repeats — just multiply by simple factor.

so 2 distinct
reps.

This reminds me of my periodicity theorem.

Final theorem, 1964, Atiyah, Shapiro:

Irreducible representations applied to ϕ generate $\pi_*(GL)$.

~~\mathbb{Z}~~

Tricky to do directly w/ matrices.

Compare C_k with algebra $C'_k = \{e_i^2 = 1, e_{i+1} = e_i\}$

tensor $C_k \otimes C'_k$ and get recursive formula.